

Douglas Capital Management, LLC

Tips to Open An Account

First Step:

You will receive an invitation that looks like this:

=====

Your Financial Advisor, Douglas Capital Management, LLC has sent you this invitation to open a client account through Interactive Brokers. Please click on the link below to start the electronic application process. If you have any questions or concerns during the application process, please contact your advisor at:

Email: brettdouglas@dcm-llc.com
Phone: 1-309-807-5637

[Start Electronic Application](#)

We look forward to your business.

Sincerely,

Interactive Brokers

=====

Click the "Start Electronic Application" to start the process.

Second Step:

Complete the email and password information, then hit "Continue"

Third Step:

Print out your new account information or at least save the web page to your desktop, then hit "Continue"

Fourth Step:

You will receive a confirmation number from Interactive Brokers by email. Go back to your Inbox, open up the latest email from Interactive Brokers, cut it out, and paste it into the "Confirmation Number" box, then hit "Continue"

Fifth Step:

For IRA Accounts, select "IRA"

for transfers from a 401K, 403B, or another qualified plan, select "Direct Rollover"

for transfers from a traditional IRA, or a Rollover IRA, select "Traditional"

for transfers from a SEP IRA, select "SEP"

for transfers from a Roth IRA, select "Roth"

For Individual Accounts, select "Individual"

For Joint Accounts select "Joint", then for California residents, I would suggest "Joint Tenants with Rights of Survivorship", but you may want to consult [Nolo's Simple Will Book](#), or an estate planning attorney for the best way to title your joint account.

For Trust Accounts, select "Trust"

Select "US Dollars for base currency, then hit "Continue"

Sixth Step:

for "Investment Objectives", select "Growth" and "Trading Profits"

Answer the remaining questions on the page, then hit "Continue"

Seventh Step:

For account type, select "Reg T Margin" or "Portfolio Margin". DCM will not hold a margin balance in your account, but if you select "Cash", every time DCM sells a stock, we must wait 3 days for the transaction to close in order to buy another stock. If you select one of the "Margin" choices, DCM can immediately purchase another stock in its place.

For country permissions, you must select "US Stocks". You can select other things if you like, but DCM must be able to trade US Stocks on your behalf.

hit "Continue"

Eighth Step:

Review the information on the page and hit "Continue"

Ninth Step:

Review the information on the page and hit continue.

10th Step:

Enter your contact information, and hit "Continue"

11th Step:

Enter your SSN, employment information, etc. and hit "Continue"

12th Step:

Complete the second applicant information (If applicable).

Complete the beneficiary designation for an IRA account. If you don't select your spouse for primary beneficiary, the application process will be more difficult.

Answer the "Accredited Investor Qualification" question

hit "Continue"

13th step:

Applicant Information/Regulatory Information: Answer all questions, then hit "Continue"

14th step:

Complete all the required signatures, then follow the instruction in [Tips for Funding Your Account](#).

If these instructions were not sufficiently clear to make the Interactive Brokers application process easy for you, please send suggestions for how to improve them to info@dcm-llc.com

Copyright Douglas Capital Management, LLC. All rights reserved.

4 Windsong Way
Bloomington, IL 61704
ph: 309-807-5637
fax: 309-412-1001
brettdouglas@dcm-llc.com

.