

## LIPPER MARKETPLACE



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## ASSET CLASS RANKING

Product/Style Category: **International Balanced/Multi-Asset**  
 Performance Measurement Period: **1 Quarter ending 12/31/2011**  
 Mean Return for this Category and Period: **3.3375**  
 Ranking (Universe Size): **Top 10 (out of 32 composites/funds)**



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Rank	Firm Name	Product Name	1 Qtr. % Return	\$ Assets in Composite
1	Moran Edwards Asset Management Group	Global Balanced	8.15%	78.2M
2	<b>Douglas Capital Management, LLC</b>	<b>Dynamic Asset Allocation Portfolio</b>	<b>7.76%</b>	<b>1.1M</b>
3	Green Investment Management Inc.	Guardian Balanced Growth	7.69%	14.5M
4	Green Investment Management Inc.	Guardian Balanced Mosaic	7.40%	5.0M
5	UBS Global Asset Management (Americas), Inc.	Global Frontier Portfolio	6.80%	133.5M
6	Strategy Asset Managers, L.L.C.	Worldwide Balanced	6.50%	126.9M
7	Medley & Brown Financial Advisors	Balanced Portfolios	6.49%	151.0M
8	Green Investment Management Inc.	Guardian Balanced	6.07%	19.0M
9	Morgan Stanley Investment Management Inc.	Global Tactical Asset Allocation	5.85%	510.0M
10	AQR Capital Management, LLC	Global Risk Premium 12 Volatility	5.66%	688.0M

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## **NOTES ON THE DYNAMIC ASSET ALLOCATION ACCOUNT PERFORMANCE**

1. These investment returns are un-audited, but calculation details, and monthly account statements are available upon request.
2. Past performance may not be indicative of future results. You should not assume that the future performance of any investment strategy will be profitable, or equal to past performance levels.
3. Past performance data is derived from the trading history in DCM's Dynamic Asset Allocation accounts. Trading commissions, trading spreads, and dividend distributions have been factored into the returns. Advisory fees are reflected directly in the returns.
4. The user assumes all risk. Douglas Capital management, LLC is not liable for the usefulness, timeliness, accuracy, or suitability of any information contained in this document or in any of its services. The user understands that the past performance information can and will fail to predict the future performance of DCM managed accounts that use the same or similar stock trading strategies.
5. Dynamic Asset Allocation accounts are open to all investors. The minimum initial investment for a Dynamic Asset Allocation trading account is \$50,000. The account must be opened with Interactive Brokers.
6. Dynamic Asset Allocation accounts use similar stock selection criteria to other DCM account types, but only a portion of the account is allocated to individual stocks. The remainder of the account uses a broad selection of ETFs.
7. Dynamic Asset Allocation accounts utilize the relative valuations of large cap, mid cap, small cap, and micro cap stocks and adjusts the portfolio weighting to avoid being highly weighted in overvalued stocks.
8. These performance figures reflect the total returns of the adviser's Dynamic Asset Allocation accounts. Dividends from common stock have been reinvested in other common stock.
9. New accounts often experience different returns from the other accounts for the first few months because DCM buys stocks with the highest predicted performance for new accounts rather than attempting to replicate the portfolios of the other accounts in the group.
10. DCM manages two groups of Dynamic Asset Allocation accounts. One group is comprised of accounts with margin borrowing available to them. The other group is comprised of tax-sheltered accounts where margin borrowing is not available. The accounts with margin borrowing have more liquidity, so individual stocks and ETFs are not purchased in the exact same proportion from one account group to the other. Therefore the returns between the group of accounts with margin borrowing and the group of accounts without margin borrowing will differ.